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Patent  
Attorney's Docket No. 015780-034

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UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

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Sir:

Enclosed for filing is the utility patent application of Patrick P. Naulleau and Kenneth Alan Goldberg for Dual-Domain Lateral Shearing Interferometer.

Also enclosed are:

- ☒ 2 sheet(s) of ☒ formal ☐ informal drawing(s);
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is ☐ hereby made to \_  
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- ☒ An ☐ executed ☒ unexecuted declaration of the inventor(s)  
☒ also is enclosed ☐ will follow.
- ☒ Please amend the specification by inserting before the first line the sentence --This application claims priority under 35 U.S.C. §§119 and/or 365 to 09/300,539 filed in United States on April 27, 1999; the entire content of which is hereby incorporated by reference.--

☐ A bibliographic data entry sheet is enclosed.

☐ The filing fee has been calculated as follows ☐ and in accordance with the enclosed preliminary amendment:

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	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00
Total Claims		MINUS 20 =		x	
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If multiple dependent claims are presented, add \$260.00					0.00
Total Application Fee					.00
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CIB-1573

## DUAL-DOMAIN LATERAL SHEARING INTERFEROMETER

The U.S. Government has certain rights in this invention pursuant to Contract No. DE-AC03-76SF00098 between the United States Department of Energy and the University of California for the operation of the Lawrence Berkeley National Laboratory.

### Reference to Related Application

This application is a continuation in part of U.S. Serial No. 09/300,539, filed April 27, 1999, which is incorporated herein in its entirety.

### Field of the Invention

The present invention relates to lateral shearing interferometers (LSI) for making highly accurate measurements of wavefront aberrations. The invention overcomes the inaccuracies associated with conventional implementations of grating-based LSIs.

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The following publications are cited in this application as superscript numbers:

- <sup>1.</sup> G. E. Sommargren, "Diffraction methods raise interferometer accuracy," *Laser Focus World*, **32**, 61-71, (8/96).
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- <sup>3.</sup> H. Medeck, et al, "Phase-shifting point diffraction interferometer," *Opt. Lett.*, **21**, 1526-1528 (1996).
- <sup>4.</sup> P. Naulleau et al, "Characterization of the accuracy of EUV phase-shifting point diffraction interferometry," in *Emerging Lithographic Technologies II*, Yuli Vladimirski, Editor, Proceedings of SPIE Vol. **3331**, 114-123, (1998).
- <sup>5.</sup> P. Carre, "Installation et utilisation du comparateur photoelectric et interferential du bureau international des poids et mesures," *Metrologia*, **2**, 13-17 (1966).
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- <sup>8.</sup> M. Takeda, et al, "Fourier-transform method of fringe-pattern analysis for computer-based topography and interferometry," *J. Opt. Soc. Am.*, **72**, 156-160 (1982).

- <sup>10</sup>. K. A. Goldberg, et al, "A 3-D numerical study of pinhole diffraction predict the accuracy of EUV point diffraction interferometry," OSA Trends in Optics and Photonics Vol. 4, Extreme Ultraviolet Lithography, G. D. Kubiak and D. J. H. Jones, eds. (Optical Society of America, Washington, DC 1996), pp. 133-137.

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All of the above publications are herein incorporated by reference in their entirety to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference in its entirety.

### **Background of the Invention**

The recent development of extreme ultraviolet (EUV) optics for use in next-generation lithography systems has led to several advancements in EUV interferometry.<sup>2,3,18</sup> With a demonstrated reference-wavefront accuracy of better than  $\lambda_{\text{EUV}}/350$  (0.04 nm at  $\lambda_{\text{EUV}} = 13.4$  nm)<sup>19</sup>, the phase-shifting point diffraction interferometer (PS/PDI)<sup>3,19,20</sup> is believed to be the most accurate EUV interferometer available. Although the PS/PDI has been proven to have high-accuracy, broad applicability of the PS/PDI is severely limited by its small dynamic

range and the fact that it must be used with a highly coherent EUV source such as undulator radiation.<sup>21</sup>

An alternative to the PS/PDI, with relaxed coherence requirements, is the lateral shearing interferometer (LSI).<sup>22-29</sup> The Ronchi interferometer<sup>22</sup> is perhaps the simplest realization of the LSI. Although not yet fully characterized for accuracy at EUV, this type of interferometer has previously been used for at-wavelength characterization of EUV optics.<sup>2,18</sup> More recently, a carrier-frequency (off-axis) implementation of the Ronchi interferometer has been used in the characterization of an EUV Schwarzschild objective. Direct comparison of this carrier-frequency LSI to the PS/PDI has demonstrated a rms measurement agreement of  $\sim \lambda_{\text{EUV}}/70$ . However, the development of next-generation EUV lithography systems requires interferometry with accuracy preferably far exceeding  $\lambda_{\text{EUV}}/100$ .

A problem with the conventional Ronchi interferometer is that it produces many interfering beams causing confusion in the data analysis and limiting the accuracy of the device. Another problem with this simple interferometer, limiting its accuracy, is that it is susceptible to noise added by high-frequency components in the test-optic wavefront.

Various methods have been described to overcome the limitation caused by multiple interfering beams. One particularly simple and elegant solution is the single-sideband LSI.<sup>28</sup> In operation of the single-sideband LSI, an illumination beam is spatially filtered by a pinhole in the object plane, thus illuminating the test optic with a nearly spherical wave. A grating beamsplitter is placed in front of the image plane, where the illuminating beam is focused. Two of the diffracted orders propagate through a single large window in an image-plane mask with the remainder of the diffracted orders being blocked by the mask. Typically the two orders are

The image-plane window serves several roles. One role is to guarantee that only two grating orders reach the detector, thus the Talbot effect of fringe localization<sup>31</sup> becomes irrelevant. Without these windows the position of the grating would be limited to a discrete set of defocus planes. Another effect of having only two interfering grating orders is that the recorded fringe pattern will be sinusoidal instead of square, facilitating the fringe analysis. Finally, confusion of multiple beam interference is avoided because only two beams are allowed to interfere at the detector. In this simple two-beam interference case, analysis of the resultant interferogram reveals an approximation to the gradient of the test wavefront, or the derivative in the direction of the shear. The original wavefront can be recovered by combining gradients from two (or more) directions using a variety of well-known techniques such as the Rimmer method.<sup>33</sup> Although the single-sideband LSI solves the multiple-beam interference problem it does not address the issue of noise susceptibility due to high-frequency features in the test-optic wavefront.

An alternative solution to the multiple-beam interference problem is to use double-frequency gratings where only the first-diffracted orders of the two constituent gratings overlap.<sup>25</sup> This method, however, is not well suited to EUV interferometry due to the difficulty in fabricating the dual-high-frequency gratings. Achieving full order separation when testing an EUV optic ( $\lambda = 13.4$  nm) with a

moderate numerical aperture (NA) of 0.1 would require a grating pitch of 67 nm with accuracy to a small fraction of that pitch. Also it does not address the issue of noise susceptibility due to high-frequency features in the test-optic wavefront.

A third solution to the multiple-beam interference problem is ac heterodyning (phase shifting).<sup>27,18</sup> In this case, the grating is translated laterally, orthogonal to the grating lines, producing temporal modulation of the intensity at each pixel at the detector. Temporal filtering is used to eliminate higher-order interference terms. Achieving high accuracy with this method when square-wave gratings are used, and hence square-wave temporal modulation is produced, requires a large number of samples to be recorded with very accurate grating translation. Because EUV systems are typically limited to using square-wave (binary) gratings due to fabrication issues, this method does not provide a time-efficient solution. Again this method does not address the issue of noise susceptibility due to high-frequency features in the test-optic wavefront.

### **Summary of the Invention**

The present invention relates to a defocused implementation of the LSI in which an image-plane filter is employed to allow both phase-shifting and Fourier wavefront recovery methods to be used. Furthermore, the two wavefront recovery methods can be combined in a dual-domain technique providing suppression of noise added by self-interference of high-frequency components in the test-optic wavefront.

In one embodiment, the invention is directed to a method of generating an interference pattern with a lateral shearing interferometer that includes the steps of:

- (a) directing a source of radiation toward a test optic provided in a test-optic



(b) a source of electromagnetic energy that directs a beam of radiation toward the optical system which focuses the beam of radiation;

(c) a beam divider in the optical path for dividing the beam of radiation from the optical system into a first beam and a second beam;

(d) a mask that is positioned in the image plane of the optical system under test wherein the first beam passes through a first window on the mask and the second beam through a second window on the mask, wherein the first beam and second beam are directed at different angles with respect to one another such that the first beam impinges at a first location on the image plane and the second beam impinges at a second location, laterally separated from the first location, on the image plane, wherein the first and second locations on the image plane onto which the first and second beams impinge define a beam-separation angle;

(e) a phase-shifting mechanism for adjusting the phase of at least one of the first beam or second beam;

(f) a detector located downstream from the mask for recording a set of interference patterns (interferograms), with relative phase shifts between each element of the set;

(g) means for recovering the shearing wavefront by process the recorded interferograms in both temporal and spatial domains; and

(h) means for combing two or more shearing wavefronts that are measured at different beam-separation angles to recover a test-optic wavefront.

### **Brief Description of the Drawings**

Fig. 1 illustrates the dual-domain implementation of the lateral shearing interferometer (LSI) with image-side grating;

Fig. 2(a) depict the limits of the detector-plane-field spectral content in one dimensions as set by the image-plane spatial filter and (b) the spatial-spectrum limits of the recorded interferogram; and

Fig. 3 is a diagram illustrating operation of one embodiment of the dual-domain LSI.

### Detailed Description of the Preferred Embodiments

In the dual-domain implementation of the LSI as illustrated in Fig. 1, the optic under test is preferably illuminated by a nearly spherical wave emanating from the object plane of the optic under test. A shearing grating is placed on the image side of the optic under test in a plane in front of the image plane. As opposed to the single-sideband method described above, the dual-domain method uses a pair of windows in the image plane aligned to selectively pass two orders of the grating.

The pair of windows in the dual-domain case serve all the same roles described for the single window in the single-sideband case: 1) eliminates the Talbot fringe localization effect,<sup>31</sup> 2) produces a sinusoidal fringe pattern, 3) eliminates the multiple-beam interference problem. In addition to these benefits, the dual-domain windows also act as spatial filters that prevent self-interference and aliasing corruption of the desired signal.

The desired signal is the interference between two separate orders of the grating. If the image-plane windows are too large, or a single window is used (as is the case in the single-sideband method), the spatial-frequency content of the desired signal is likely to overlap with the spatial-frequency content of the self-interference term of each individual beam. By combining the use of these windows with both phase-shifting<sup>5-7</sup> and Fourier-fringe analysis<sup>8</sup> methods this self-interference effect

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Phase-shifting Point Diffraction Interferometer" serial no. 09/617,719, filed July 17, 2000 which is incorporated herein.

#### Dual-Domain Implementation for LSI

In the past, LSI data analysis had primarily been performed using either time-domain (phase-shifting) methods,<sup>5-7, 18, 27</sup> or spatial-domain methods such as the Fourier-transform method.<sup>8, 28</sup> The dual-domain LSI of the present invention, however, is well suited to using both methods and as described above, distinct advantages are obtained when the two methods are simultaneously combined.

In practice, it is difficult to strictly meet the bandwidth criterion that would prevent the self-interference corruption and aliasing problems described above. Limiting the self-interference corruption problem in the conventional single-sideband case, requires the interferogram carrier frequency to be significantly larger than the bandwidth of the test-optic wavefront. Failure to meet this criterion causes light from adjacent orders in the recorded interferogram to spill into the signal band of interest corrupting the measurement. A problem very similar to this has recently been addressed in the PS/PDI<sup>3</sup> through the development of a dual-domain data collection and analysis technique.<sup>30</sup> This same dual-domain technique, with slight modifications of the image-plane mask, can also be applied to the LSI problem.

Derivation of the dual-domain process is described in detail by Naulleau and Goldberg in U.S. Patent Application serial no. 09/300,539 entitled "Dual-Domain Point Diffraction Interferometer" filed April 27, 1999 and in "Dual-domain point diffraction interferometer," Appl. Opt. **38**, 3523-3533 (1999), which are both incorporated herein by reference. The method relies on collecting a temporally



Noting that the recorded interferogram is simply the modulus squared of the Fourier transform of the focal-plane field distribution, the Autocorrelation Theorem can be used to find the spatial-spectrum limits of the recorded interferogram. Fig. 2(a) depicts the limits of the detector-plane-field spectral content in one dimension as set by the image-plane spatial filter (windows) and Fig. 2(b) shows the autocorrelation of the field spectrum or the recorded intensity spectrum. The central peak is the *zero-order* interferogram term: this is essentially the irradiance of the light passing through the windows. The two off-axis components are the positive- and negative-first-order interferogram terms that arise from the interference between the sheared beams. To prevent overlap between adjacent orders, the window separation, and hence focal-plane beam separation is most preferably at least twice the window width. This separation differs from the PS/PDI dual-domain case where the beam separation is only required to be  $3/2$  the window width.<sup>30</sup> The difference comes from the fact that in the PS/PDI the reference-beam spectrum is essentially a delta function, whereas in the LSI, the reference is a copy of the test beam.

The condition described above guarantees that the self-interference term of the light traveling through each individual window will not corrupt the signal of interest comprised of the interference between two different orders of the grating, each propagating through a separate window. It should be noted, however, that light from a single order of the grating traveling through both windows and interfering at the detector will not be separable from the signal of interest in the spatial-frequency domain. Using the two-window mask with simple Fourier-fringe analysis would, hence, be incapable of completely eliminating the high-frequency corruption. This

single-beam cross-window term, however, will not phase shift as it arises from a single order of the grating. Phase shifting requires two different orders of the grating to interfere. The fact that this term does not phase shift while the signal of interest does, means that it can be eliminated by temporal-domain (phase-shifting) analysis. By combining the two analysis methods as is done in the dual-domain method, both major self-interference corruption terms are eliminated.

The dual-domain analysis method can be implemented in various ways. One way is to record a set of phase-shifting interferograms and to numerically spatially filter each interferogram using a Fourier-domain filter chosen to match the location and size of the first-diffracted order of each interferogram. This set of filtered interferograms is then processed using conventional phase-shifting (time-domain) methods.<sup>5-7</sup> The resulting wavefront is a shearing wavefront (derivative in the direction of the shear). To recover the test-optic wavefront, this process is repeated at at least one different shear direction and the shearing wavefronts combined using conventional shearing analysis methods such as the Rimmer method.<sup>33</sup>

Alternatively, the temporal domain (phase-shifting analysis) process can be implemented first to recover a still-partially-corrupted complex-valued shearing wavefront and the spatial filtering subsequently performed as described above to remove the residual corruption. Finally, the spatial filtering process may also be directly embedded into the phase-shifting analysis.

Fig. 3 is a diagram that illustrates the operation of one embodiment of the dual-domain LSI data collection and processing system. A detector 1 detects an interferogram produced by the optic under test 2. The interferogram changes over time as a result of the phase-shifting caused by lateral translation of the grating. The



What is Claimed is:

(g) repeating steps (b) through (f) at at least one different beam-separation angle to recover at least one other shearing wavefront ; and

(h) combining the shearing wavefronts to recover a test-optic wavefront.

2. The method of claim 1 wherein the sizes of the first window and second window are sufficiently small to prevent spatial-frequency cross-talk between adjacent orders in the recorded interferogram.
3. The method of claim 1 wherein the separation between the center of the first window and the center of the second window is at least two times the window width.
4. The method of claim 1 wherein the first window has an area of between  $1 \mu\text{m}^2$  and  $0.01 \text{ mm}^2$  and the second window has an area of between  $1 \mu\text{m}^2$  and  $0.01 \text{ mm}^2$  and the separation between the center of the first window and the center of the second window is between  $2 \mu\text{m}^2$  and  $0.2 \text{ mm}^2$ .
5. The method of claim 4 wherein the size and shape of the first window is essentially identical to that of the second window.
6. The method of claim 1 wherein the beam divider is a diffraction grating.
7. The method of claim 1 wherein the recording device is a charged-coupled device.

9. The method of claim 1 wherein step (f) comprises (i) using time-domain-based techniques to recover the complex amplitude of the shearing wavefront from the phase-shifting series as a whole, and (ii) bandpass spatial-filtering the resulting complex amplitude to eliminate the spatial-frequency crosstalk from the shearing wavefront.

10. The method of claim 8 wherein the bandpass filter, as defined in the spatial-frequency domain, is (i) essentially equal to twice the test-beam window size as defined in the spatial-frequency domain and (ii) is centered on the positive- and/or negative-first orders of the recorded interferograms.

11. The method of claim 9 wherein the bandpass filter, as defined in the spatial-frequency domain, is (i) essentially equal to twice the test-beam window size, as defined in the spatial-frequency domain, and (ii) centered on the Fourier-domain peak of the complex amplitude.

12. The method of claim 1 wherein step (f) comprises embedding a bandpass spatial-filtering process into a time-domain technique algorithm, thereby, simultaneously processing the data in both the temporal and spatial domains.





15. The system of claim 13 wherein the separation between the center of the first window and the center of the second window is at least two times the window width.

16. The system of claim 13 wherein the first window has an area of between  $1\text{ }\mu\text{m}^2$  and  $0.01\text{ mm}^2$  and the second window has an area of between  $1\text{ }\mu\text{m}^2$  and  $0.01\text{ mm}^2$  and the separation between the center of the first window and the center of the second window is between  $2\text{ }\mu\text{m}^2$  and  $0.2\text{ mm}^2$ .

17. The system of claim 15 wherein the size and shape of the first window is essentially identical to that of the second window.

18. The system of claim 13 wherein the beam divider is a diffraction grating.

19. The system of claim 13 wherein the recording device is a charged-coupled device.

20. The system of claim 13 wherein the means for recovering the shearing wavefront comprises means for (i) bandpass spatial-filtering the individual interferogram's irradiance frames to form a filtered phase-shifting series, and (ii)

using time-domain-based techniques to recover the shearing wavefront from the resulting filtered phase-shifting series.

21. The system of claim 13 wherein the means for recovering the shearing wavefront comprises means for (i) using time-domain-based techniques to recover the complex amplitude of the shearing wavefront from the phase-shifting series as a whole, and (ii) bandpass spatial-filtering the resulting complex amplitude to eliminate the spatial-frequency crosstalk from the shearing wavefront.

22. The system of claim 20 wherein the means for bandpass spatial-filtering, as defined in the spatial-frequency domain, is (i) essentially equal to twice the test-beam window size as defined in the spatial-frequency domain and (ii) is centered on the positive- and/or negative-first orders of the recorded interferograms.

23. The system of claim 21 wherein the bandpass spatial-filtering means, as defined in the spatial-frequency domain, is (i) essentially equal to twice the test-beam window size, as defined in the spatial-frequency domain, and (ii) centered on the Fourier-domain peak of the complex amplitude.

24. The system of claim 13 wherein the means for recovering the shearing wavefront comprises a bandpass spatial-filtering process that is embedded into a time-domain technique algorithm, thereby, simultaneously processing the data in both the temporal and spatial domains.

## ABSTRACT

A defocused implementation of the lateral shearing interferometer in which an image-plane filter allows both phase-shifting and Fourier wavefront recovery methods to be readily used. The two wavefront recovery methods can be combined in a dual-domain technique providing suppression of noise added by self-interference of high-frequency components in the test-optic wavefront. A method of generating an interference pattern with a lateral shearing interferometer that includes the steps of: (a) directing a source of radiation toward a test optic provided in a test-optic region of the lateral shearing interferometer whereby the test optic focuses a beam of radiation to an image plane located downstream from the test optic; (b) dividing the beam of radiation into at a first output beam and a second output beam directed at different angles with respect to one another such that the first output beam impinges at a first location on the image plane and the second output beam impinges at a second location, laterally separated from the first location, on the image plane, wherein the first and second locations on the image plane onto which the first and second output beams impinge define a beam separation angle; (c) phase shifting at least one of the first output beam and the second output beam; (d) passing the first output beam through a first window on a mask that is positioned at the image plane of the test optic to produce a first wave and passing the second output beam through a second window on the mask to produce a second wave; (e) recording a set of interference patterns (interferograms), with relative phase shifting between each element of the set; (f) recovering a first shearing wavefront by processing the recorded interferograms in both temporal and spatial domains; (g) repeating steps (b) through (f) at a different beam separation angle to recover a

second shearing wavefront ; and (h) combining the first and second shearing wavefronts to recover a test-optic wavefront.

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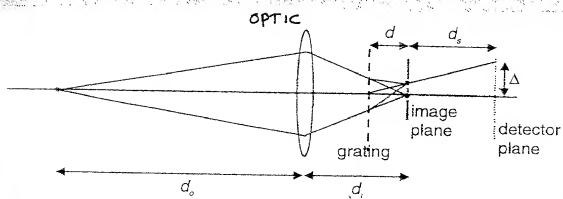


Fig. 1.

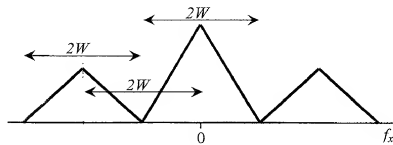
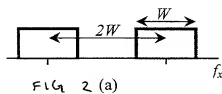


Fig. 2 (b)

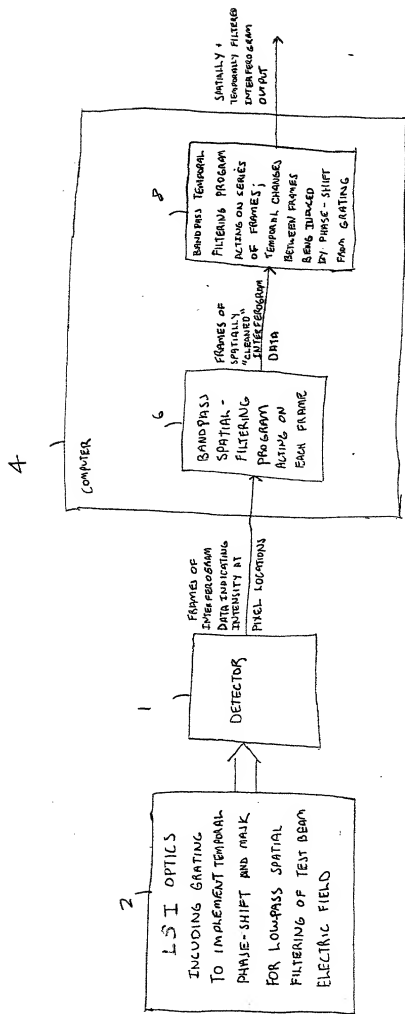


FIGURE 3

**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

Attorney's Docket No.

015780-034 (CIB 1573)

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

**I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if more than one name is listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:**

DUAL-DOMAIN LATERAL SHEARING INTERFEROMETER

the specification of which

(check one)



is attached hereto;



was filed on \_\_\_\_\_ as

Application No. \_\_\_\_\_

and was amended on \_\_\_\_\_;  
(if applicable)

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE;

I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THE OFFICE ALL INFORMATION KNOWN TO ME TO BE MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, Sec. 1.56 (as amended effective March 16, 1992);

I do not know and do not believe the said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to said application;

I hereby claim foreign priority benefits under Title 35, United States Code Sec. 119 and/or Sec. 365 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application(s) on which priority is claimed:



